

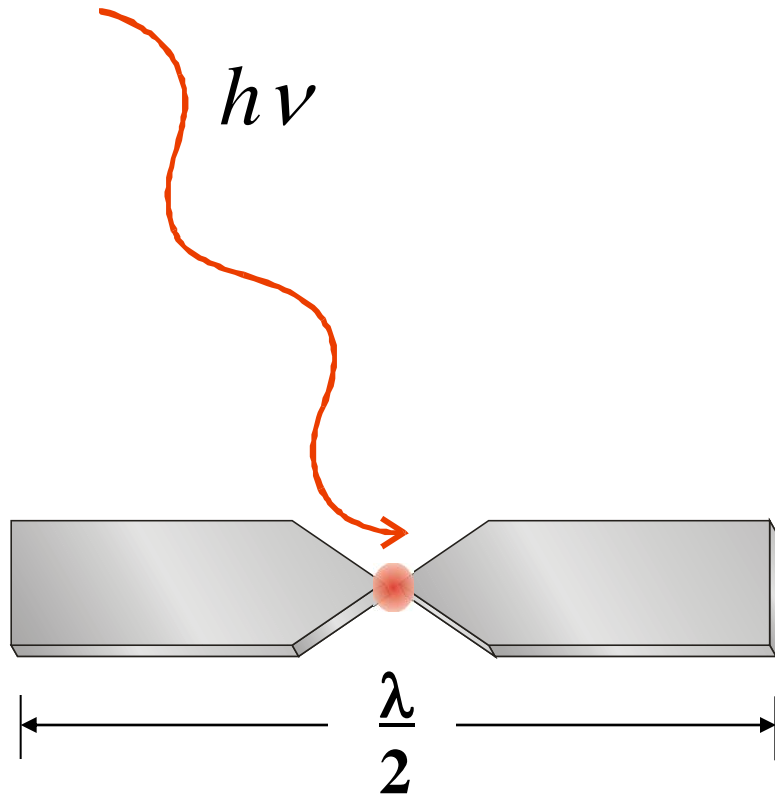
# Spontaneous Emission Rate is Enhanced by an Optical Antenna

Croucher Advanced Study Institute:  
New Materials and New Concepts for  
Controlling Light and Waves

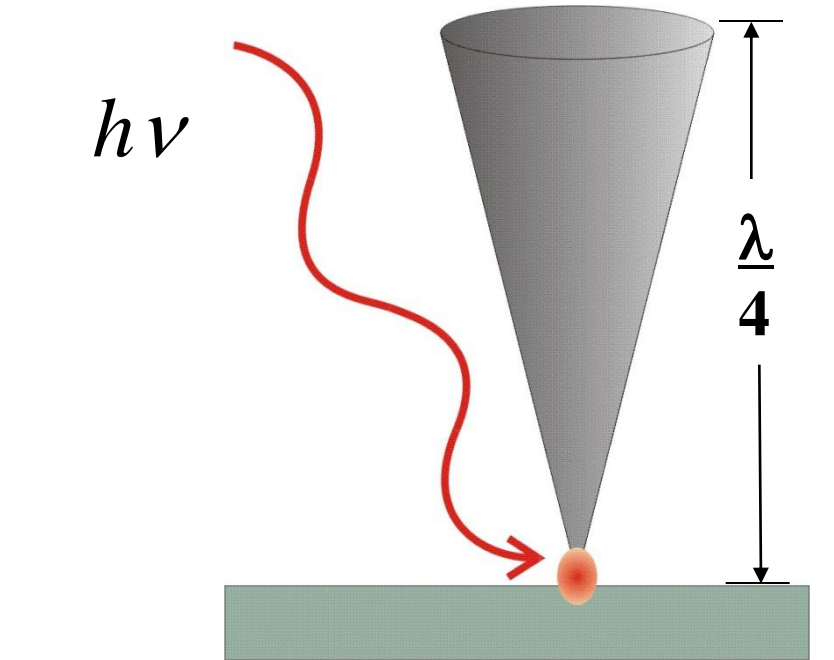
Hong Kong, Thursday Oct. 4, 2012

Prof. Eli Yablonovitch, Prof. Ming Wu  
Michael Eggleston, Nikhil Kumar, & Kevin Messer  
Electrical Engineering & Computer Sciences Dept.  
University of California, Berkeley, CA 94720

# Antennas are part of Plasmonics & Metal-Optics:

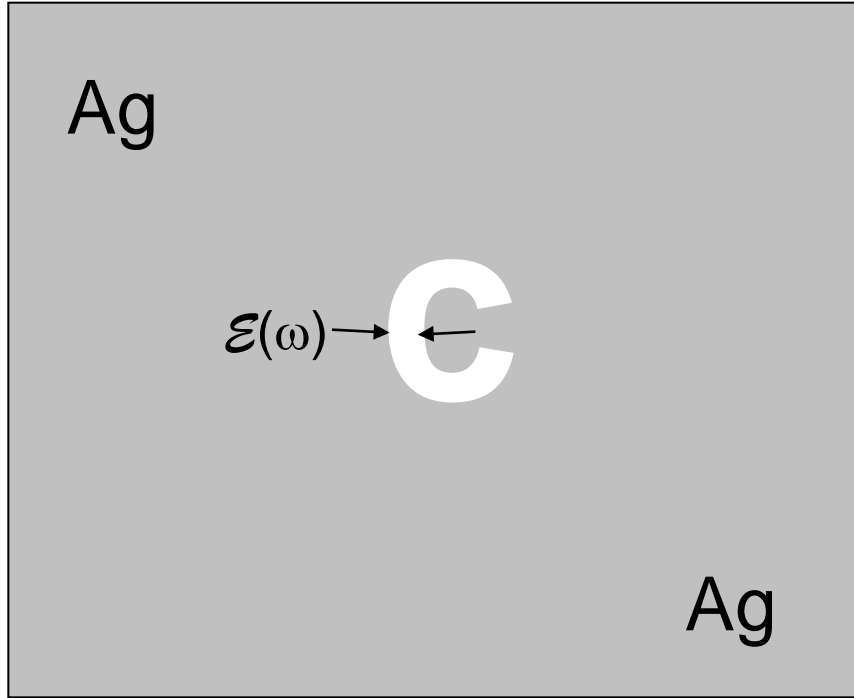


Bow-Tie Antenna  
half-wave dipole

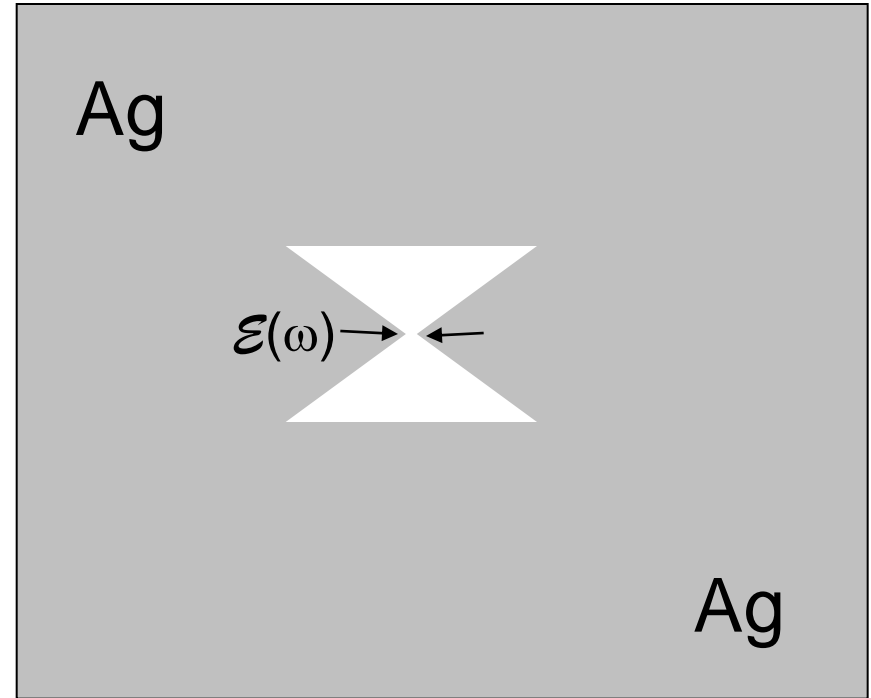


Optical STM  
quarter-wave monopole

# Slot antennas, Babinet's Principle:

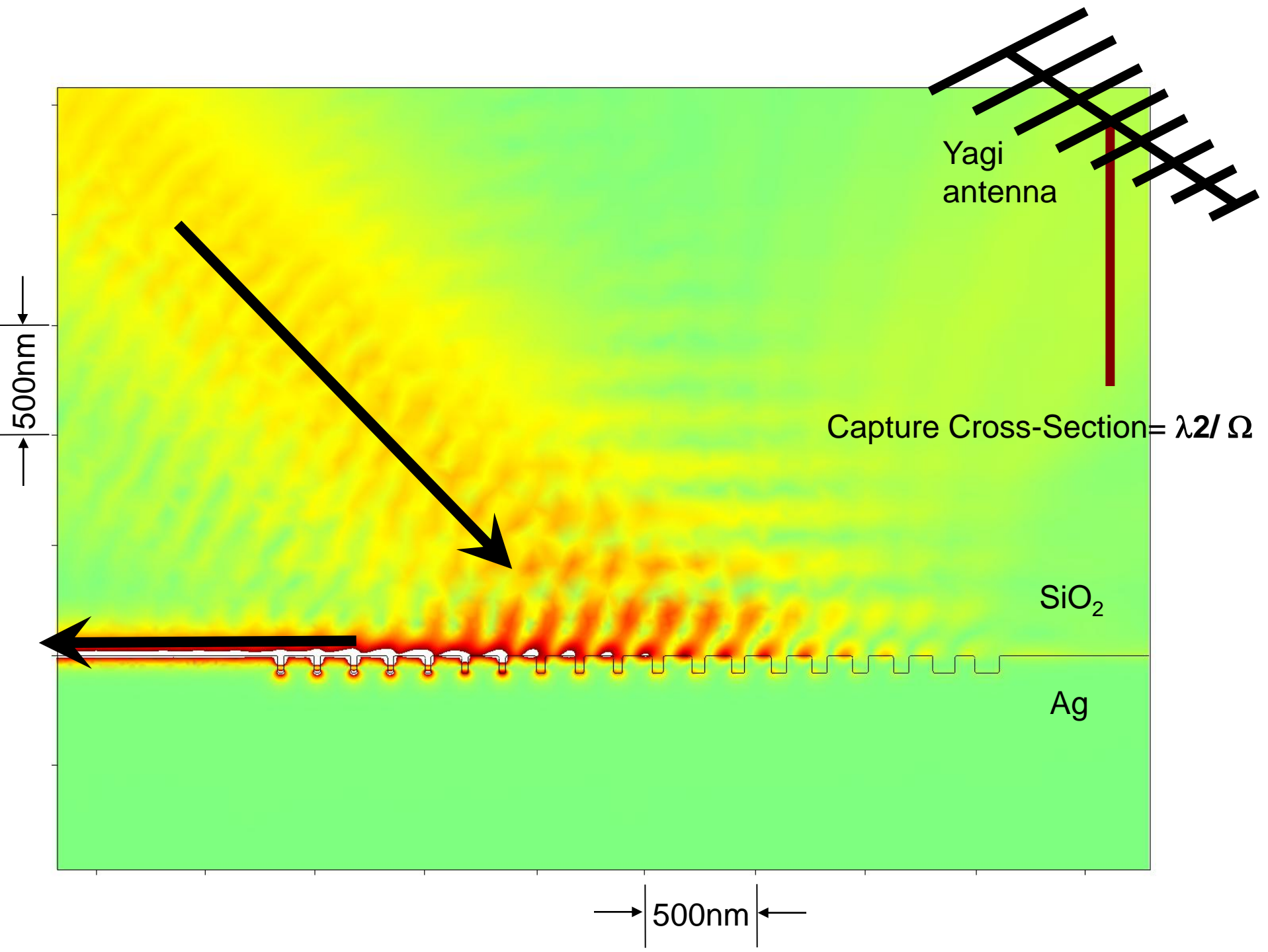


The Complementary C-slot antenna

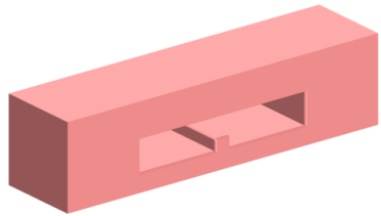


Bow-Tie Slot antenna

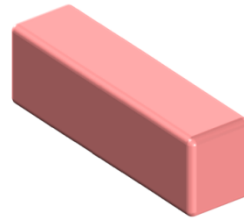
This type will have less Ohmic resistance,  
based simply on have more metal.



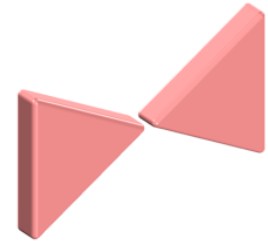
# The Optical Antenna Zoo



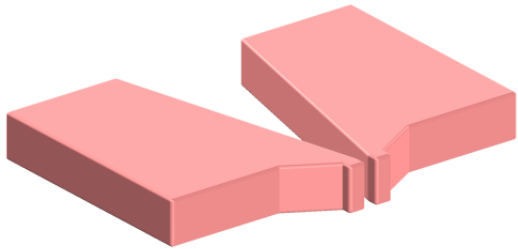
Ridge Waveguide



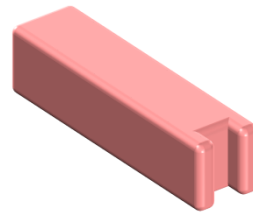
Pin Antenna



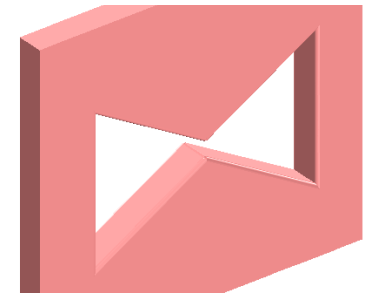
Bowtie Antenna



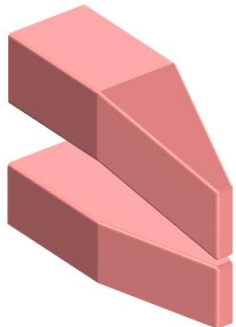
2D Tapered Waveguide



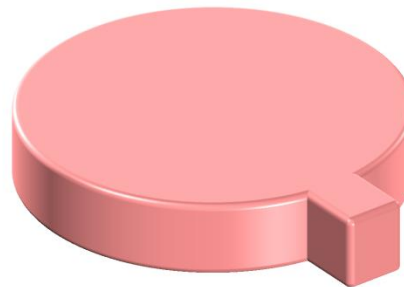
Recessed Pin Antenna



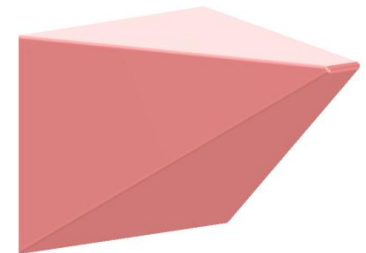
Comp-Bowtie Antenna



3D Tapered Waveguide

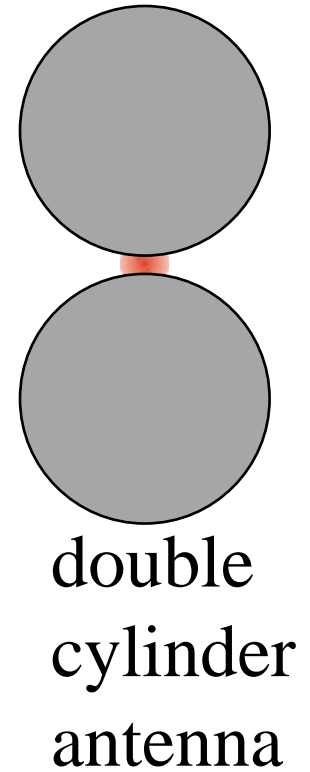
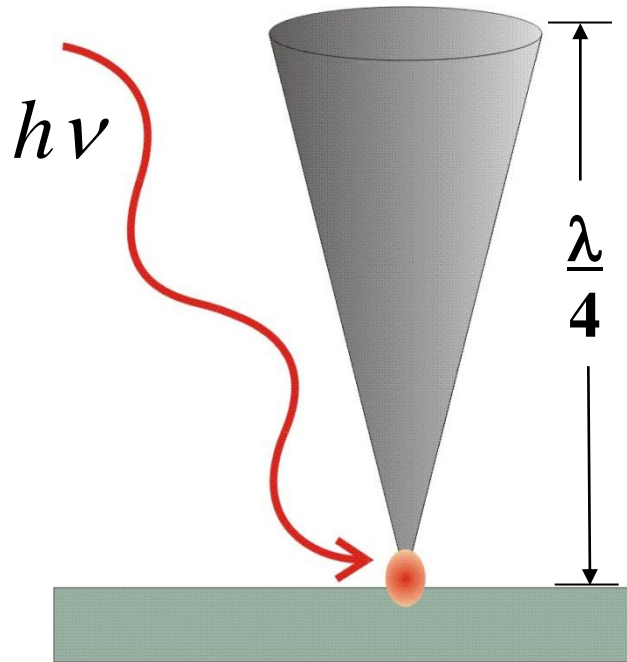


Patch Antenna



Blade Waveguide

**Concentrating  
Electromagnetic  
Energy:**

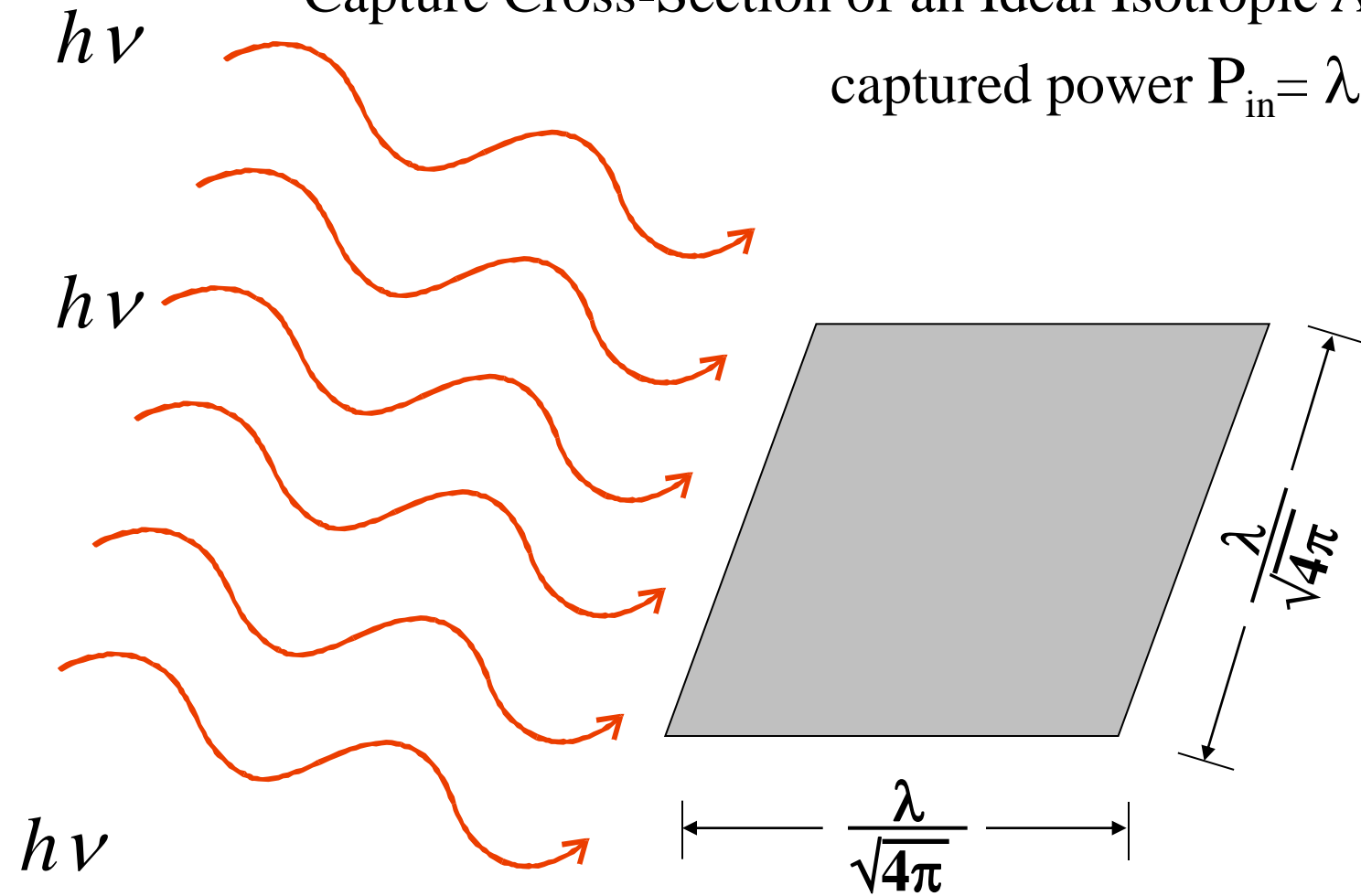


Optical Scanning Tunneling Microscope  
quarter-wave monopole antenna

Super-resolution depends upon tip dimensions  $\ll \lambda$ .

Capture Cross-Section of an Ideal Isotropic Antenna =  $\lambda^2/4\pi$

captured power  $P_{in} = \lambda^2/4\pi \times \text{Intensity}$



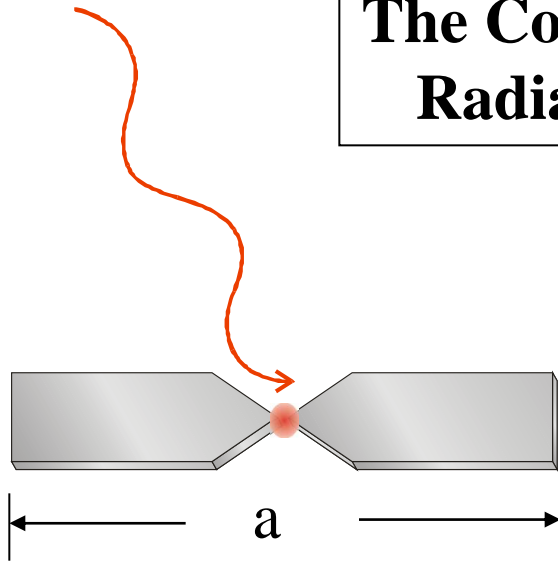
For a highly directional antenna, Capture Cross-Section =  $\lambda^2/ \Omega$   
where  $\Omega$  is the acceptance solid-angle.

$\lambda$  = Vacuum Wavelength

Radiated Power is proportional to

$$\{\text{charge} \times \text{acceleration}\}^2: \left( \frac{1}{6\pi\epsilon_0 c^3} \right) \left\{ Nq \frac{dv}{dt} \right\}^2$$

**The Concept of Antenna Radiation Resistance**



$$\left( \frac{1}{6\pi\epsilon_0 c^3} \right) \{ \omega Nqv \}^2$$

$$= \left( \frac{\omega^2}{6\pi\epsilon_0 c^3} \right) \times \left\{ a \frac{N}{a} qv \right\}^2$$

$$= \left( \frac{\omega^2}{6\pi\epsilon_0 c^3} \right) \times a^2 \times I^2$$

$$= \underbrace{R_{\text{radiation}}}_{\text{depends on geometry, frequency, etc.}} \times I^2$$

By time reversal, the captured power:

$$\text{Intensity} \times \lambda^2/4\pi = P_{\text{in}} = I^2 \times R_{\text{radiation}}$$

$$\text{Intensity} \times \lambda^2/4\pi = P_{\text{in}} = \frac{V^2}{R_{\text{radiation}}}$$

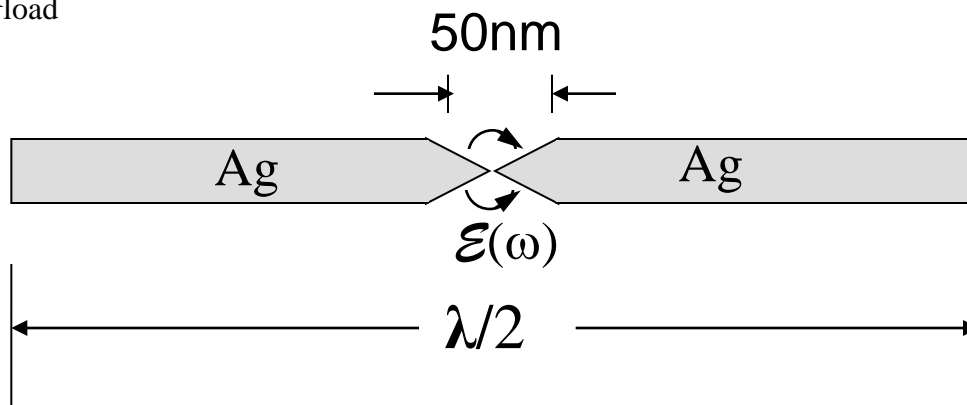
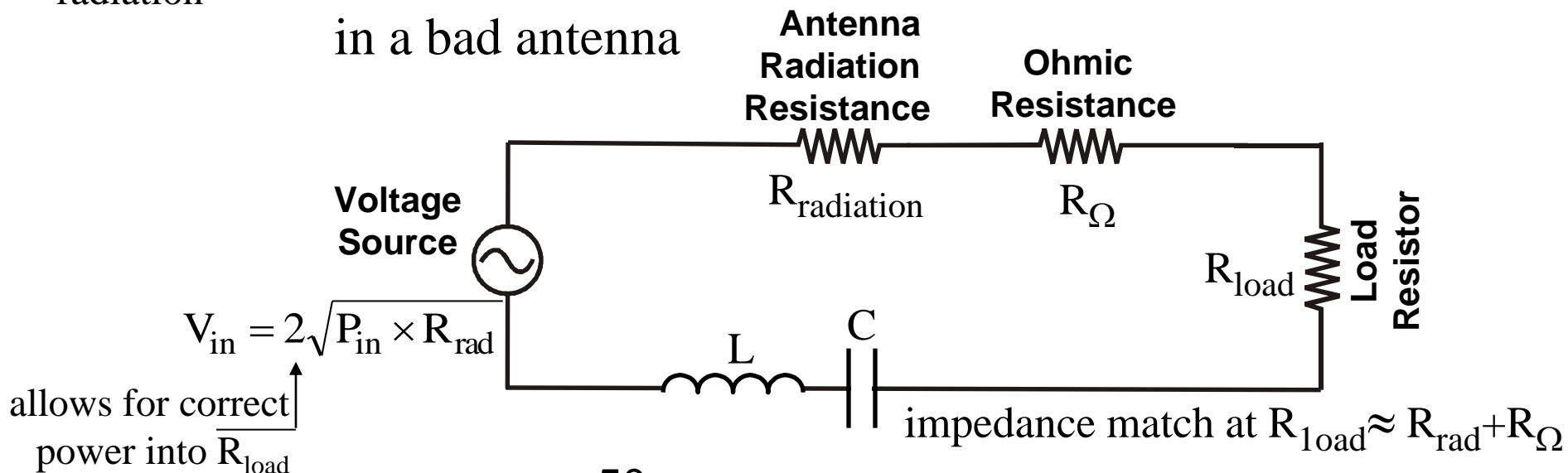


$R_{\text{radiation}} \approx 50\Omega \approx 377\Omega/2\pi$   
in a very good antenna

$$377\Omega = \sqrt{\mu_0/\epsilon_0} \text{ in MKS units}$$

$R_{\text{radiation}} \approx (a/\lambda)^2 \times 377\Omega \approx 1\Omega$   
in a bad antenna

$$377\Omega = 4\pi/c \text{ in CGS units}$$



$$\text{Antenna Efficiency} = \frac{R_{\text{radiation}}}{R_{\text{radiation}} + R_{\Omega}} \approx \frac{R_{\text{radiation}}}{R_{\Omega}} < 1$$

# Wheeler's Limit: (1947)

## CONCLUSION

An attempt has been made to describe the general aspects of slot antennas. Such antennas are a "must" in high-speed aeronautics and in radio-controlled missiles.

It has been shown that many of the tasks performed by external antennas can be performed by this flush-type radiator. Subjected to careful scientific investigation, as is possible in peacetime, their usefulness should eventually be greatly extended.

## ACKNOWLEDGMENT

The author is indebted to the Navy Radio Test personnel and especially to Captain A. S. Born, R. M. Silliman, and Lieutenant J. B. Stout for encouragement during the early stages of development. Similar acknowledgment is due to various members of the radio technical groups of the Army.

To RCA, special acknowledgment is due to H. H. Beverage, C. W. Hansell, P. S. Carter, R. E. Franklin, and W. A. Miller for help and guidance freely given.

# Fundamental Limitations of Small Antennas\*

HAROLD A. WHEELER†, FELLOW, I.R.E.

*Summary*—A capacitor or inductor operating as a small antenna is theoretically capable of intercepting a certain amount of power, independent of its size, on the assumption of tuning without circuit loss. The practical efficiency relative to this ideal is limited by the "radiation power factor" of the antenna as compared with the power factor and bandwidth of the antenna tuning. The radiation power factor of either kind of antenna is somewhat greater than

$$\frac{1}{6\pi} \frac{Ab}{l^3}$$

in which  $Ab$  is the cylindrical volume occupied by the antenna, and  $l$  is the radianlength (defined as  $1/2\pi$  wavelength) at the operating frequency. The efficiency is further limited by the closeness of coupling of the antenna with its tuner. Other simple formulas are given for the more fundamental properties of small antennas and their behavior in a simple circuit. Examples for 1-Mc. operation in typical circuits indicate a loss of about 35 db for the I.R.E. standard capacitive antenna, 43 db for a large loop occupying a volume of 1 meter square by 0.5 meter axial length, and 64 db for a loop of  $1/5$  these dimensions.

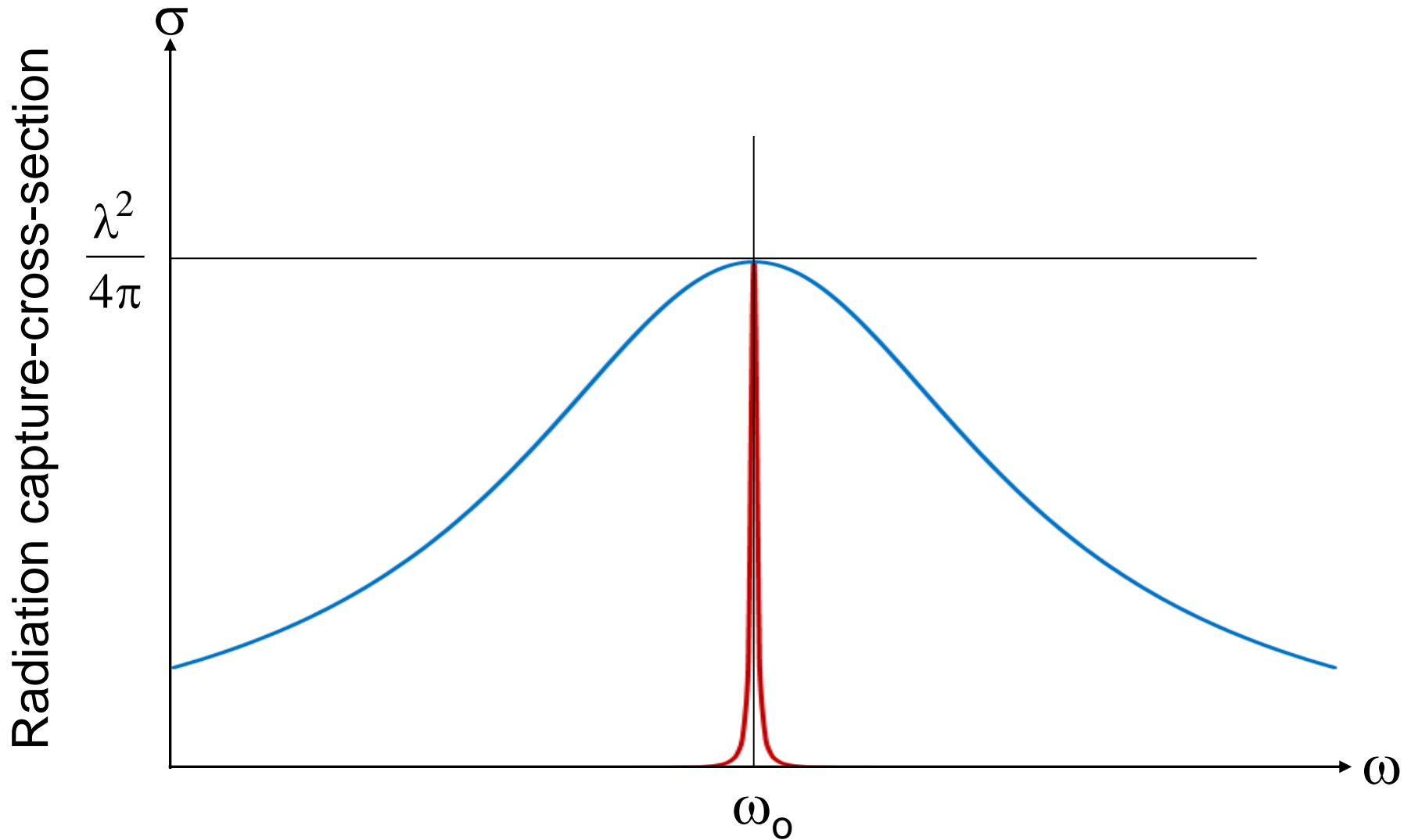
radian (4 per cent error). An antenna within this limit of size can be made to behave essentially as lumped capacitance or inductance, so this property is assumed.

It has occasionally been pointed out that a small antenna free of dissipation could take from a radio wave and deliver to a load an amount of power independent of the size of the antenna. This would be true at one frequency if the antenna can be resonated at that frequency without adding dissipation. It results from the fact that a smaller antenna delivers its lesser voltage from a lesser resistance such that the available power remains the same.

The power available from such an antenna is the wave power which would pass through the "effective area" of the antenna. Its effective area is  $3/2$  the area of a circle whose radius is one radianlength, denoted a "radian

The most important electromagnetic equation that does NOT appear in Jackson:

$$Q_{rad} \approx \frac{3}{4\pi^2} \left( \frac{\lambda^3}{a^3} \right)$$



1. Every piece of metal is an LC resonator—
2. Every LC resonator is an antenna, penalty is bandwidth.



- Technology
- Products
- Photo Gallery
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## NEWS / MEDIA

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# Ethertronics Ships 500 Millionth Embedded Antenna + Samsung Galaxy 3S

Technology Company Gains Momentum as Demand for its Innovative Antenna Solutions Grows

SAN DIEGO, Calif. - January 17, 2012- Ethertronics, a leading technology company enabling innovative antenna and RF system solutions to deliver the best connected experience, today announced it shipped its 500 millionth antenna in the fourth quarter of 2011, further underscoring the company's position as a market leader in the industry.

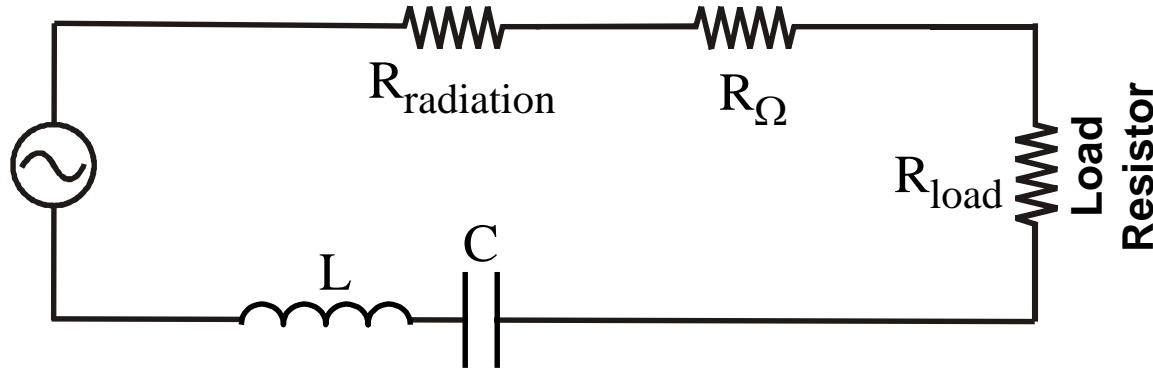
1. There are creative insights from the Meta-Material viewpoint.

There are many amazing new properties in meta-materials.



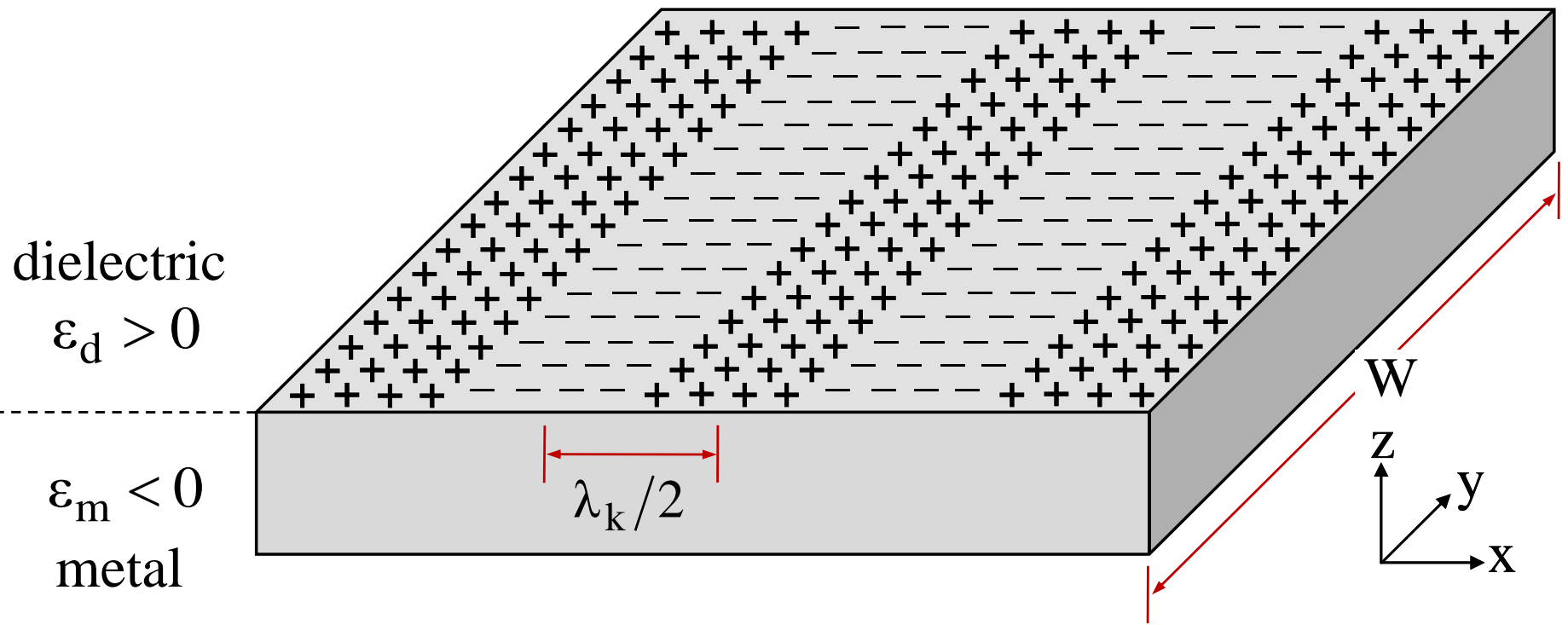
2. There are creative insights from the Circuit viewpoint.

There are many amazing new properties in optical circuits.



The circuit viewpoint is more general, since it doesn't require repeating units, and is every bit as amazing.

Proposal: unified name should be “Metal Optics”.



$$L'_k = \frac{1}{\epsilon_o \omega_p^2} \cdot \frac{1}{W \delta_m}$$

After much electrostatic calculation:  $C' = 2\epsilon_o kW$

After much magnetostatic calculation:  $L'_F = \mu_o/2kW$

Mode Wavelength  $\lambda$  (nm)

10000

1000

200

50

6

3

2.5

2

1.5

1

0.5

0

$\omega_p/\sqrt{2}$

Exact: 
$$k = \frac{\omega}{c} \sqrt{\frac{\epsilon_m}{\epsilon_m + 1}}$$

*Exact*

*Circuit Model*

$1/\omega^2 \epsilon_0 (1 - \epsilon_m) \delta_m W$

$\mu_0/2kW$

$2\epsilon_0 kW$



Energy (eV)

Wavevector  $k$  (m<sup>-1</sup>)

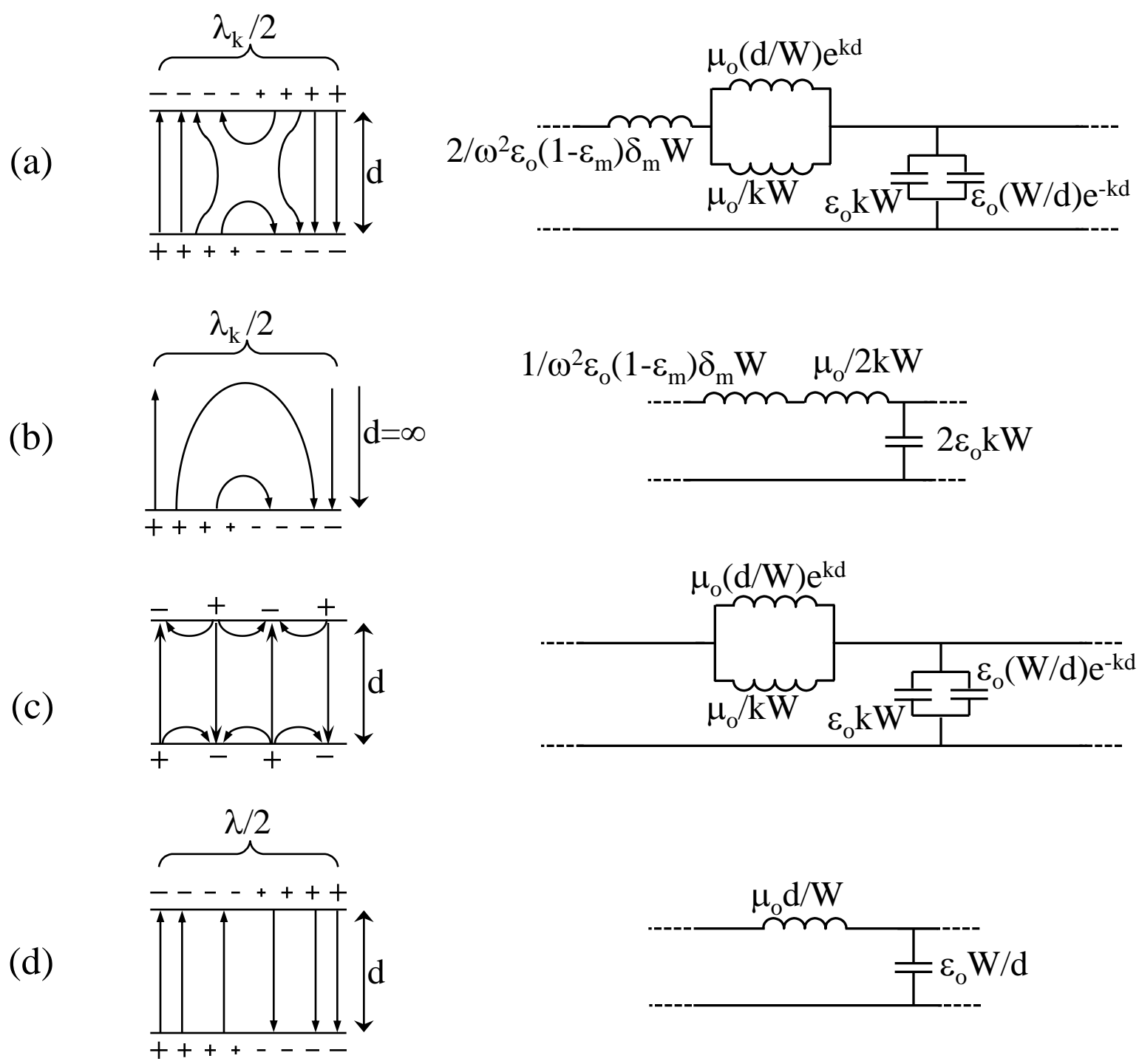
10<sup>5</sup>

10<sup>6</sup>

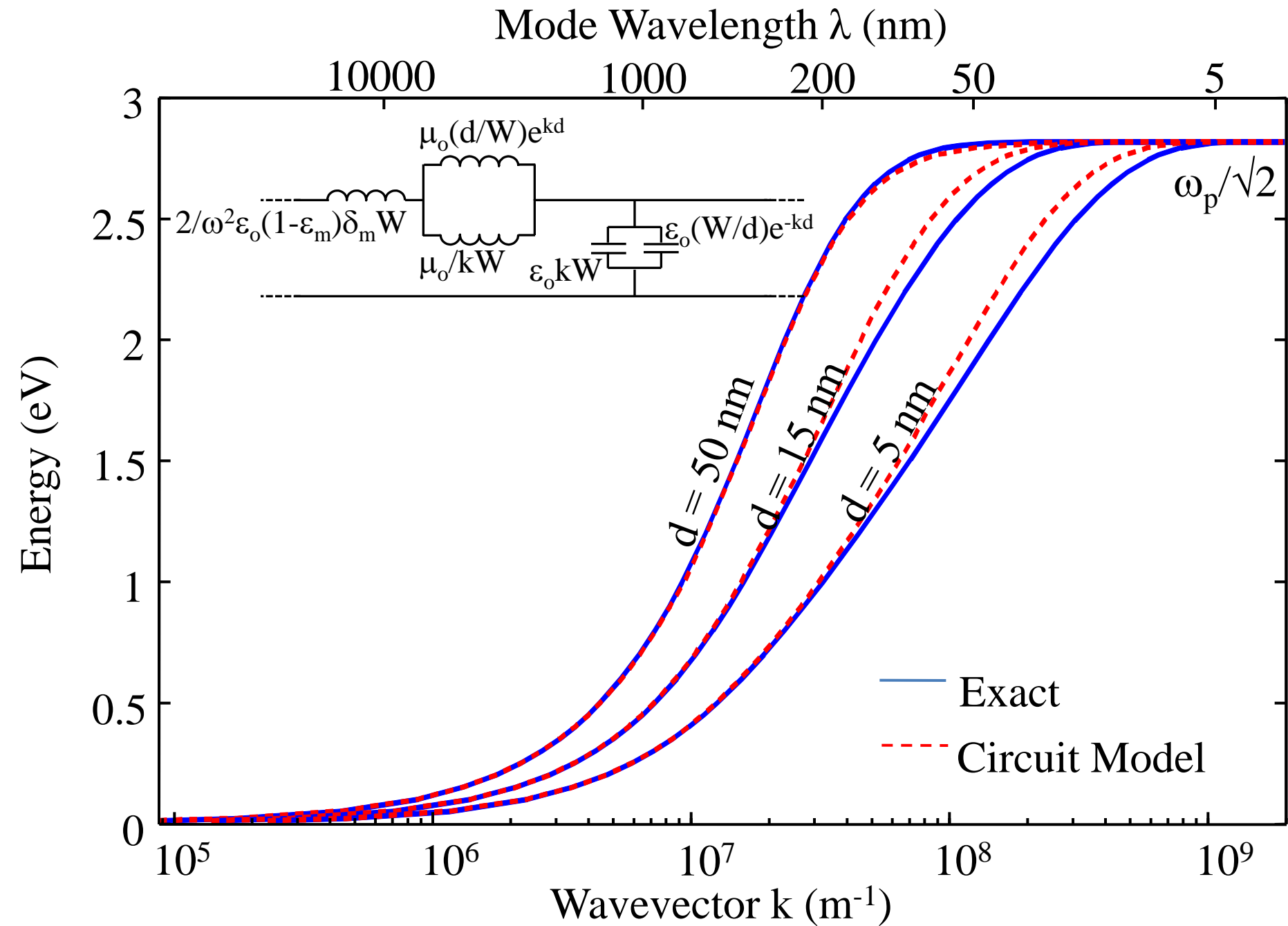
10<sup>7</sup>

10<sup>8</sup>

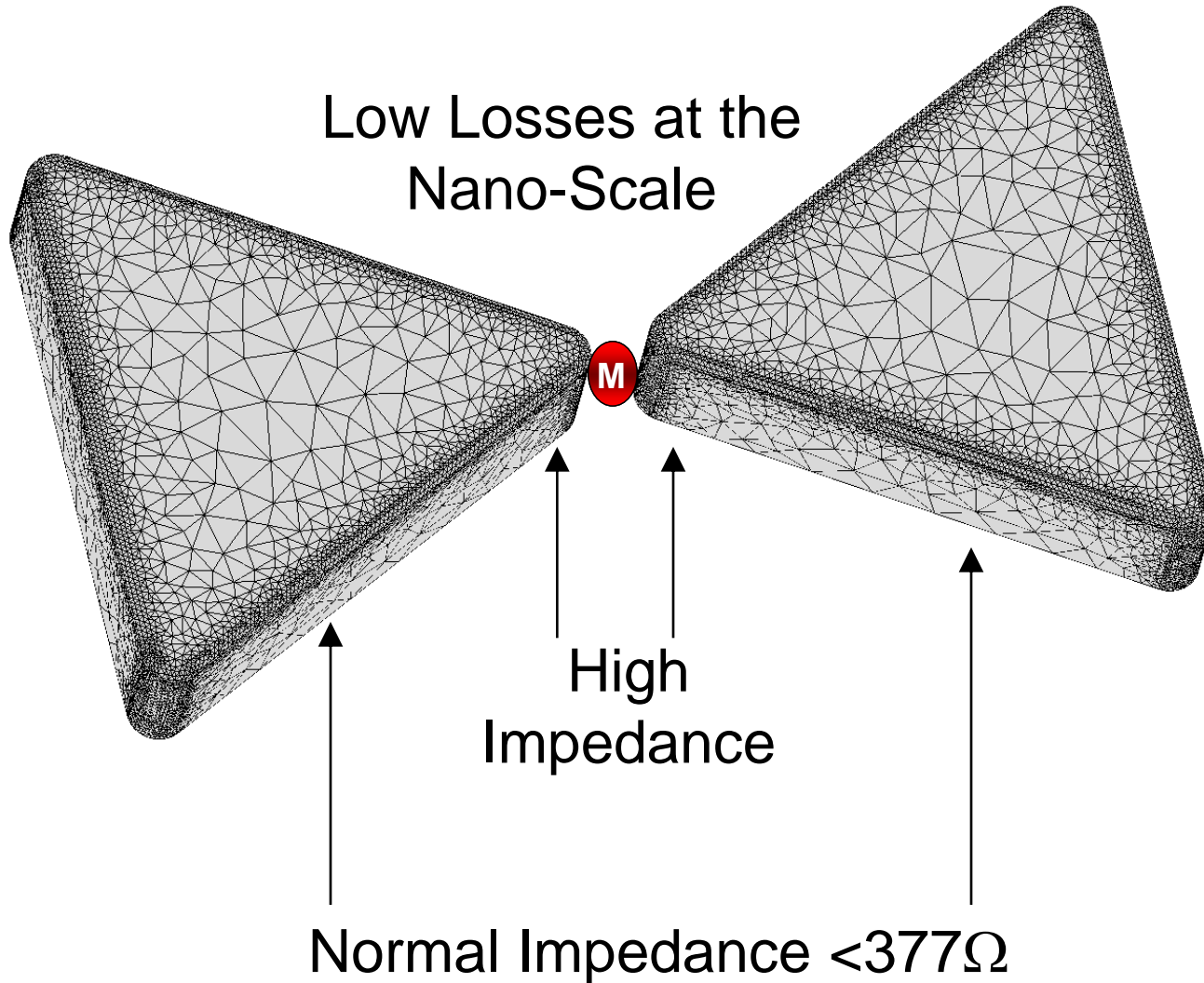
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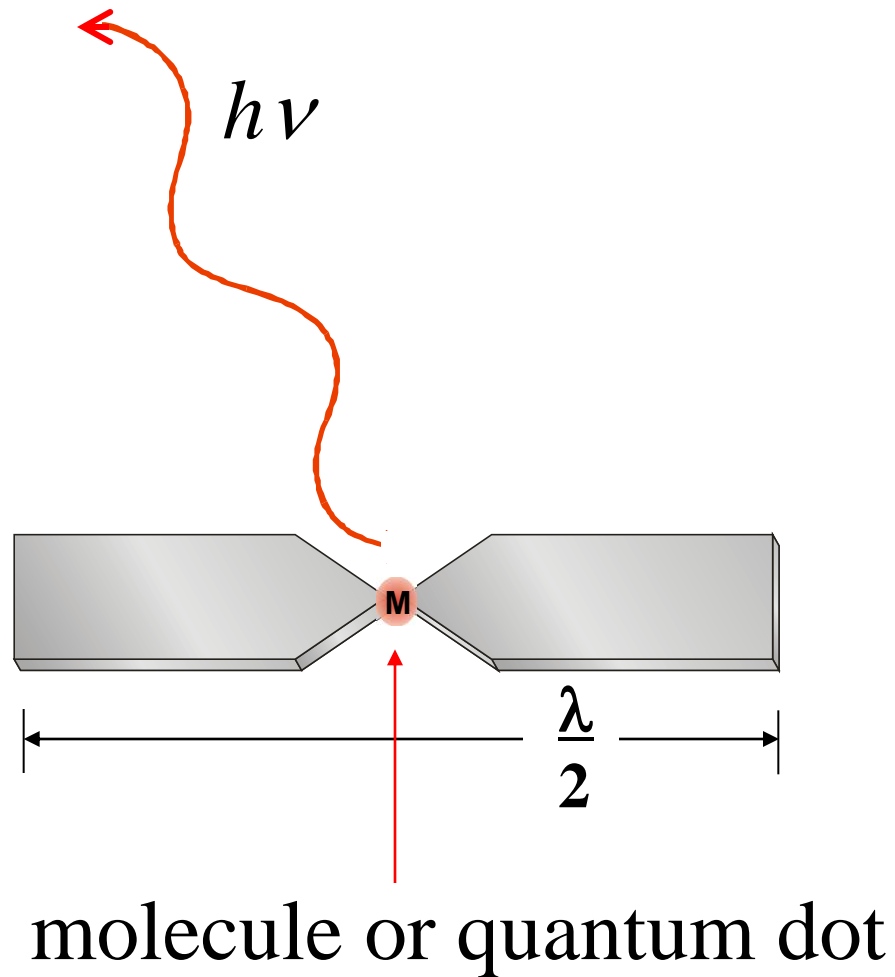




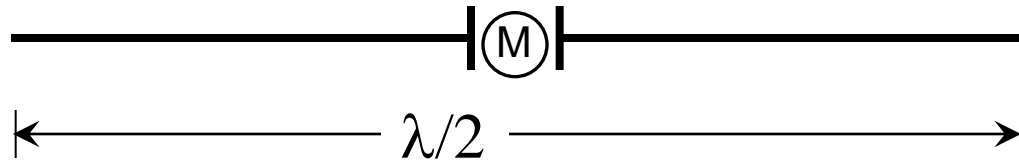
# Plasmonic Effect on Antennas:



# Spontaneous Emission Enhancement, molecules attached to optical antennas



Power Radiated by a molecule in an antenna:



Ⓜ ≡ molecule

$$P = I^2 R$$

$$P = (q\omega/2\pi)^2 R$$

$$\frac{1}{\tau_{sp}} \equiv \frac{P}{\hbar\omega} = \frac{(q\omega)^2}{(2\pi)^2 \hbar\omega} \times \sqrt{\frac{\mu_o}{\epsilon_o}}$$

$$\frac{1}{\omega\tau_{sp}} = \frac{P}{\hbar\omega^2} = \frac{(q\omega)^2}{(2\pi)^2 \hbar\omega^2} \times \sqrt{\frac{\mu_o}{\epsilon_o}} \sqrt{\frac{\epsilon_o}{\epsilon_o}}$$

$$\frac{1}{\omega\tau_{sp}} = \frac{q^2}{(2\pi)^2 \hbar} \times \frac{\sqrt{\mu_o \epsilon_o}}{\epsilon_o}$$

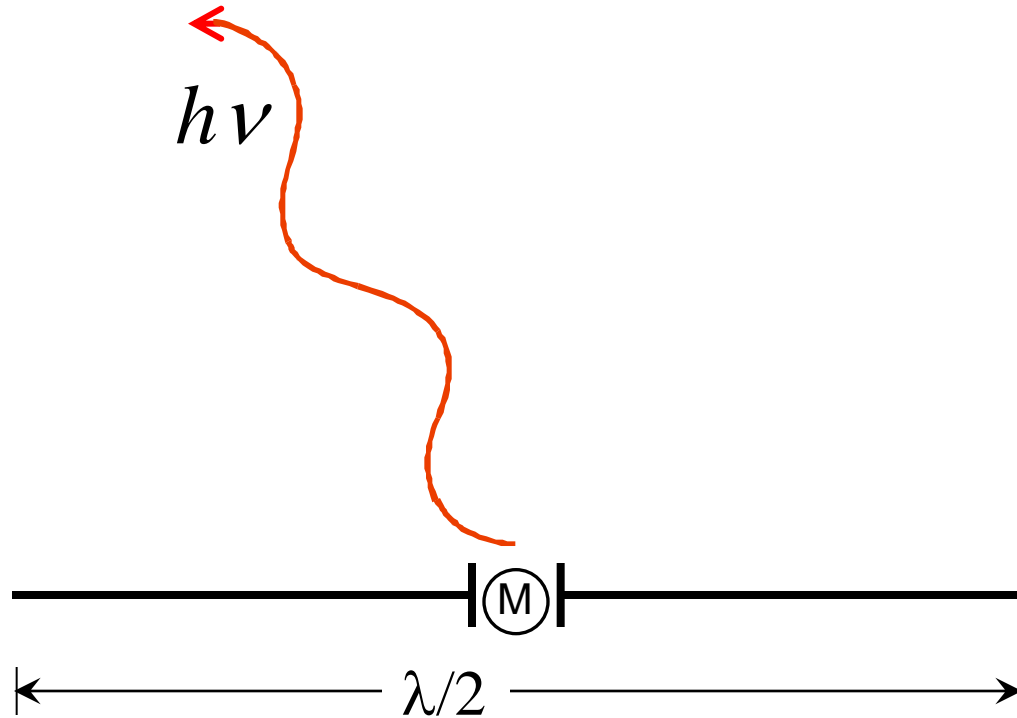
$$\frac{1}{\omega\tau_{sp}} = \frac{q^2}{4\pi^2 \epsilon_o \hbar c} = \frac{1}{\pi} \left\{ \frac{q^2}{4\pi \epsilon_o \hbar c} \right\}$$

$$\frac{1}{\omega\tau_{sp}} = \frac{\alpha}{\pi} = \frac{1}{\pi} \times \frac{1}{137}$$

What is the fastest speed of spontaneous emission?

$$\alpha \equiv \frac{q^2}{4\pi\epsilon_o \hbar c} \equiv \frac{1}{137}$$

Fine Structure Constant



$$\frac{1}{\tau_{\text{sp}}} = \frac{2}{137} \times \nu$$

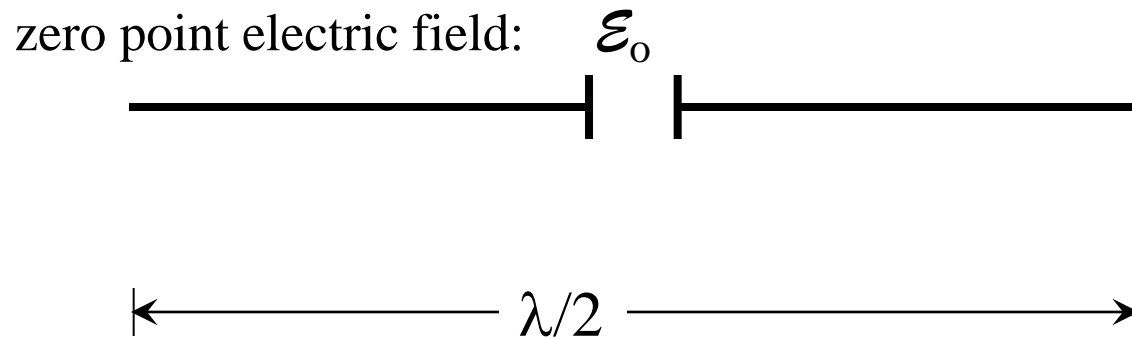
With the aid of an antenna  
spontaneous emission can be really fast!

Spontaneous Emission  
can be faster  
than Stimulated Emission!

Spontaneous Emission rates  
can compete with the radiation frequency,  $\omega_0$ , itself!

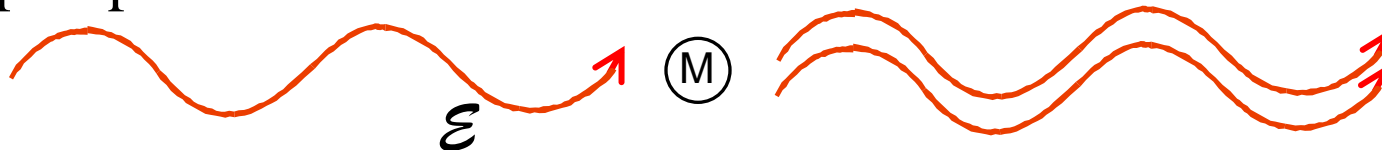
# How can Spontaneous Emission possibly be Faster than Stimulated Emission?

spontaneous emission:



stimulated emission:

Real pump electric field



## Data-Communications

## Modulation Speed:

Light Emitting Diode

200Mb/s

Edge Emitting laser

50Gb/s

VCSEL laser

25Gb/s

*while maintaining good efficiency and reliability*

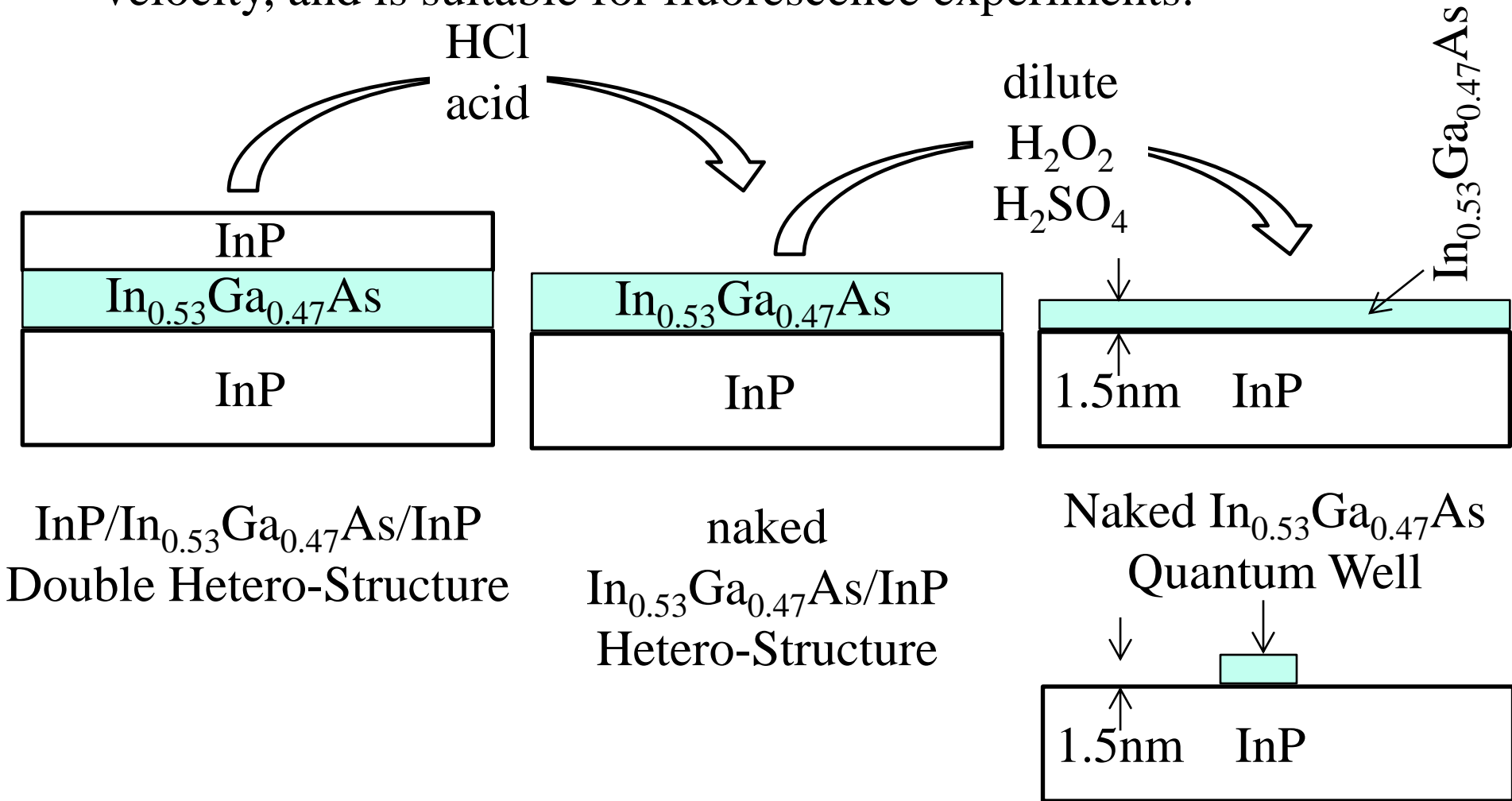
A 200X speed up of Spontaneous Emission  
would make the LED  
faster than the Laser!



# Applications:

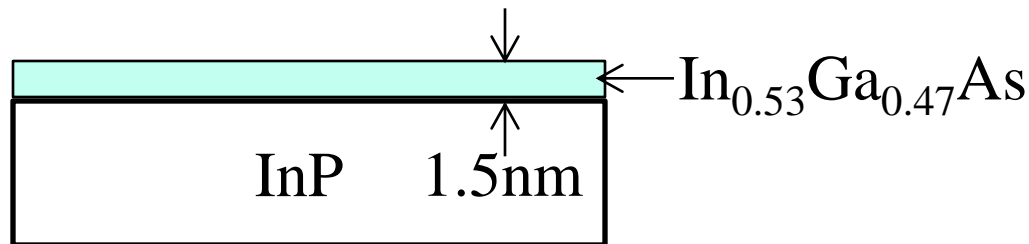
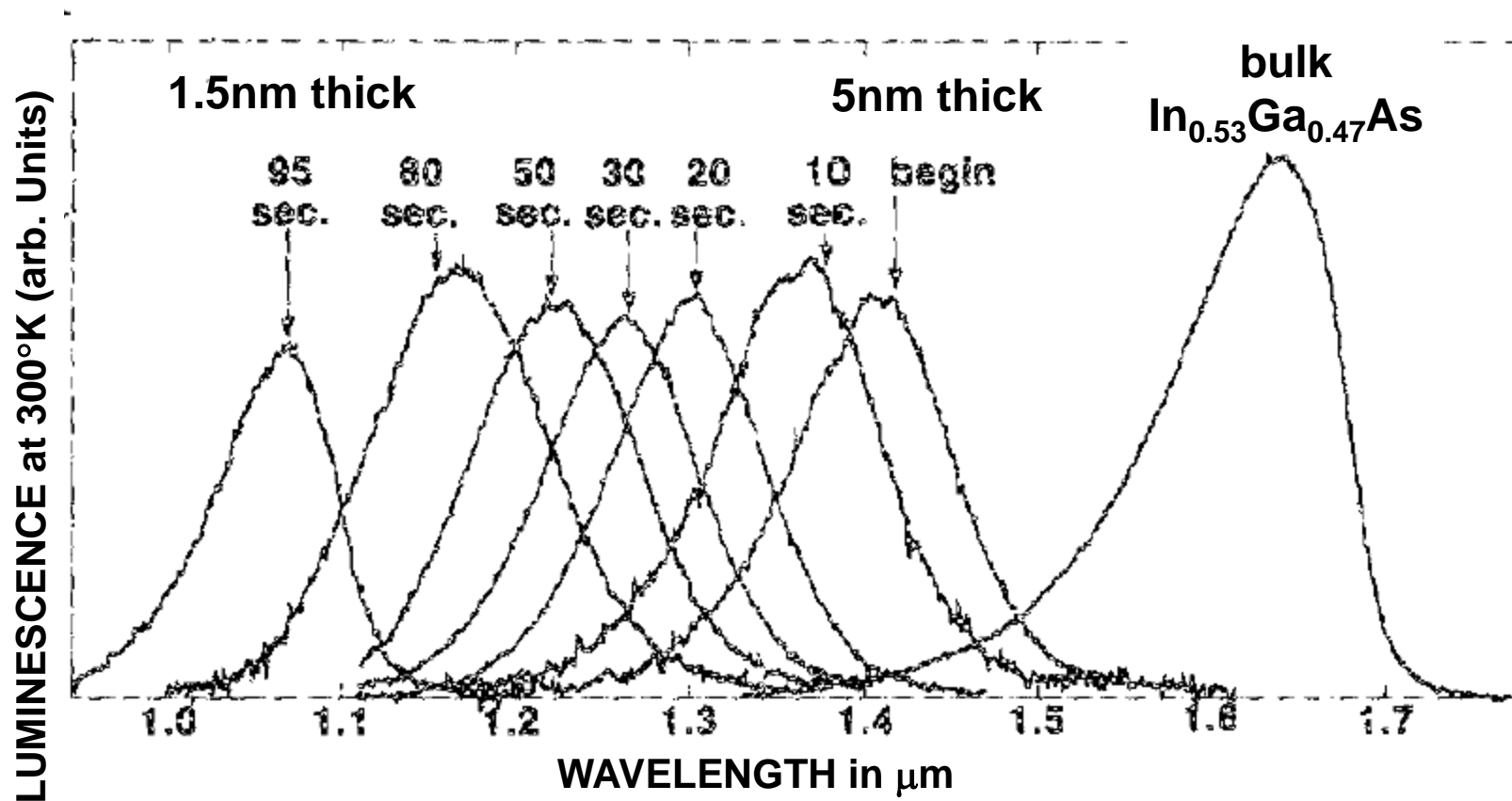
1. Direct modulation speed of nano-LED's for interconnects will be  $>100\text{Gbits/sec}$
2. Many substances that do not fluoresce, will radiate efficiently when placed near an antenna structure.  
This has implications for bio-sensors, etc.

Bare  $\text{In}_{0.53}\text{Ga}_{0.47}\text{As}$  has a low surface recombination velocity, and is suitable for fluorescence experiments:



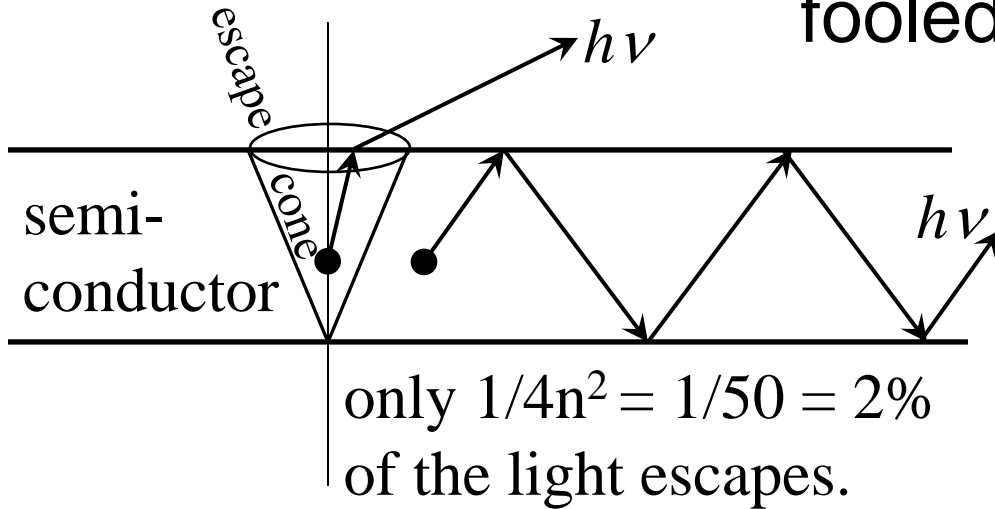
Nearly Ideal Electronic Surfaces on Naked  $\text{In}_{0.53}\text{Ga}_{0.47}\text{As}$  Quantum Wells  
E. Yablonoitch, H.M. Cox, and T.J. Gmitter, APL 52, 1002 (1988)

# Naked $\text{In}_{0.53}\text{Ga}_{0.47}\text{As}$ Quantum Well Photo-Luminescence



It is important to remove the substrate, to not be

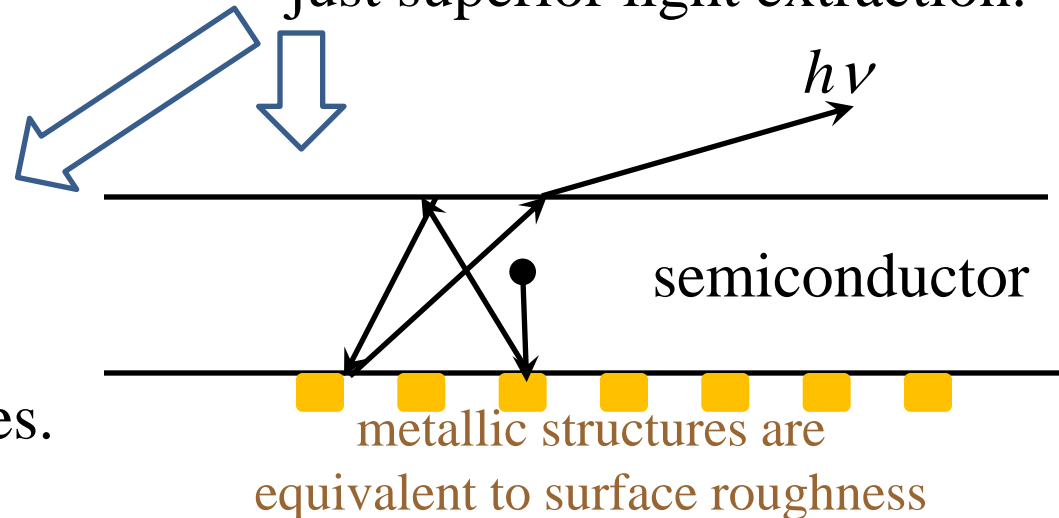
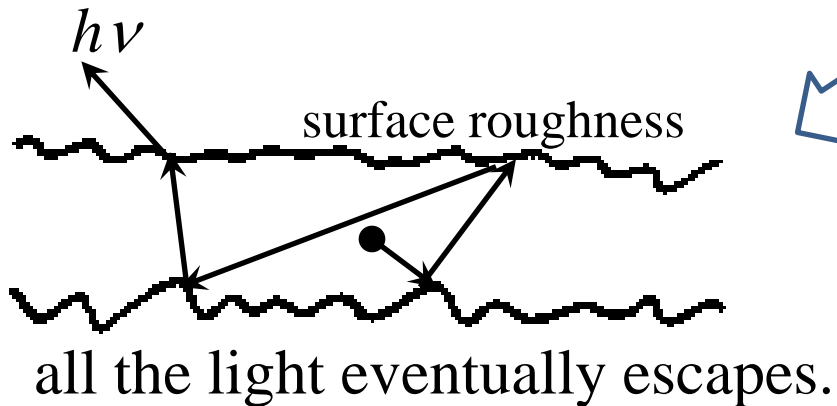
fooled by Light Extraction:



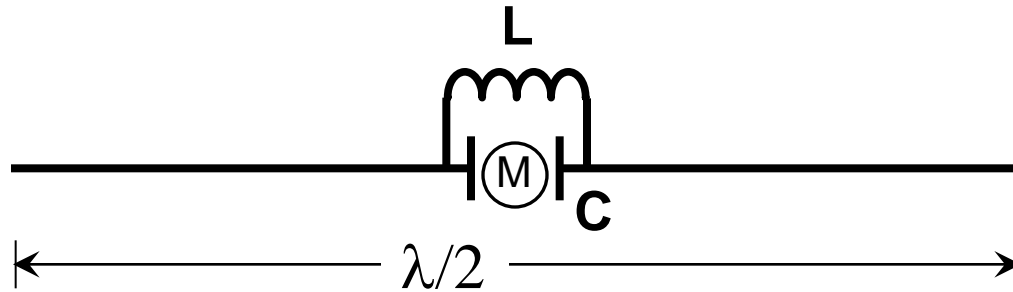
As a result of superior light extraction, light emitting diodes now have  $>50\%$  external efficiency.

Not Spontaneous Emission Enhancement, just superior light extraction.

New Light Emitting Diodes:



Even faster spontaneous emission rates:

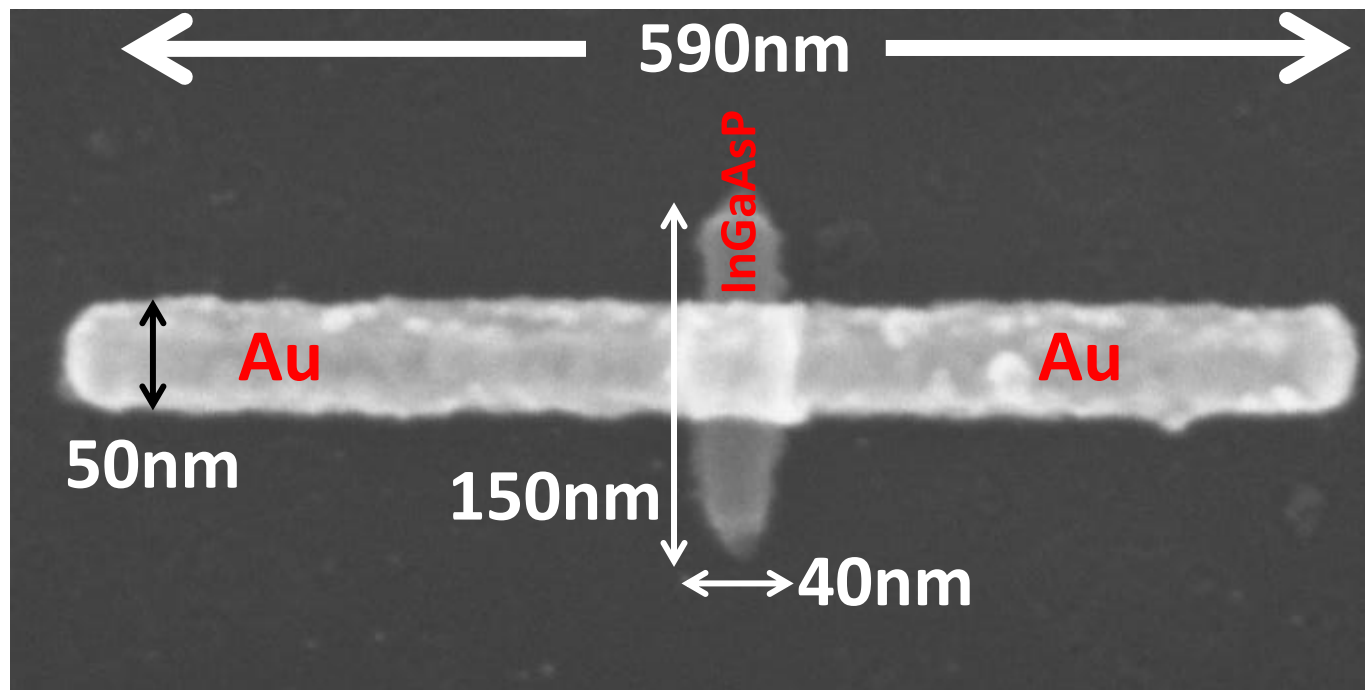


The **LC** resonator sharpens up the resonance and allows an even faster spontaneous emission rate via the Purcell Effect!

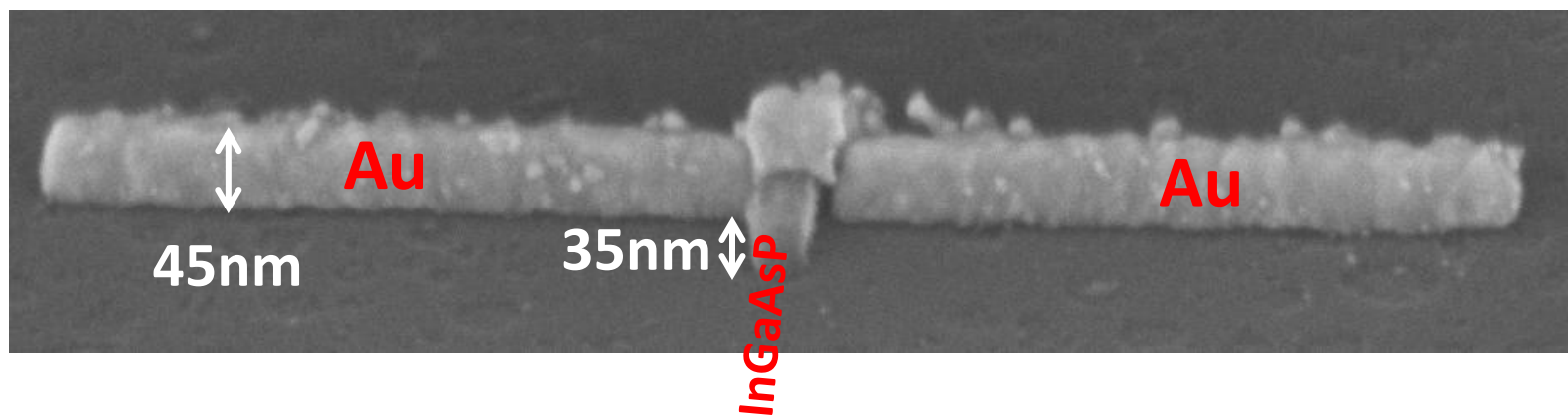
But this could also be regarded as an improved radiation by improved impedance-matching between the molecule and the antenna.

In cellphones, the **LC** circuit is called a "matching network"

**Top View**

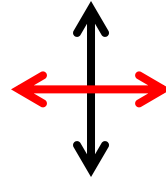


**Side View**



# PL as Background Material is Reduced

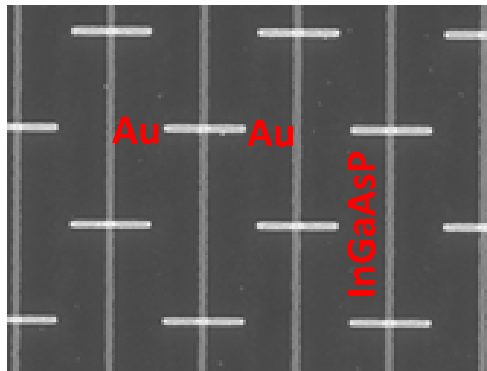
PL Perpendicular to Antenna



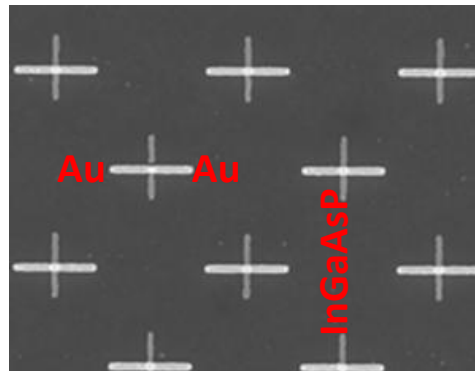
PL Parallel to Antenna



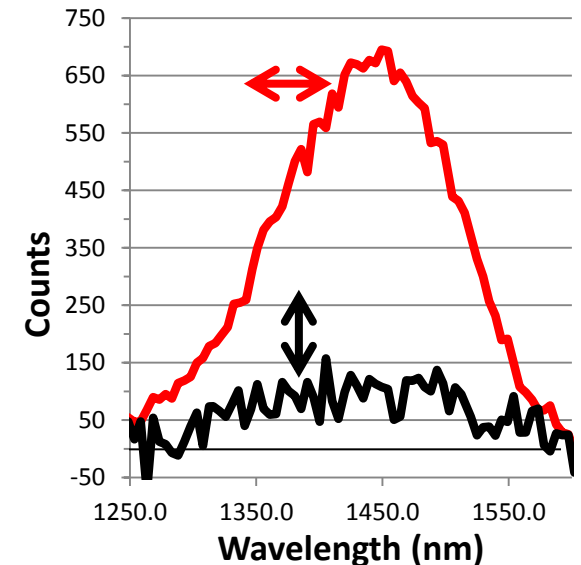
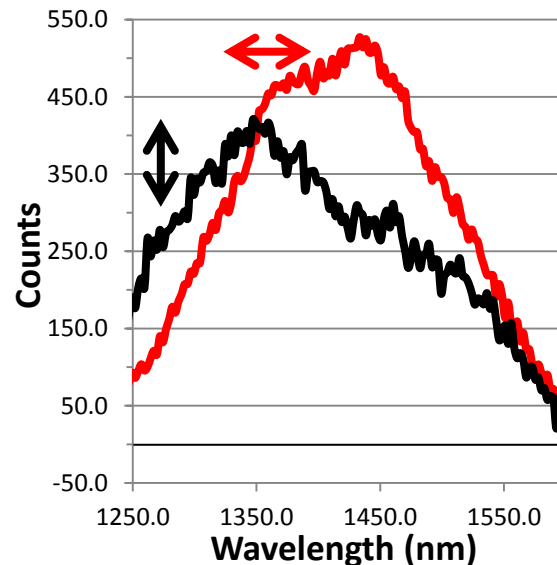
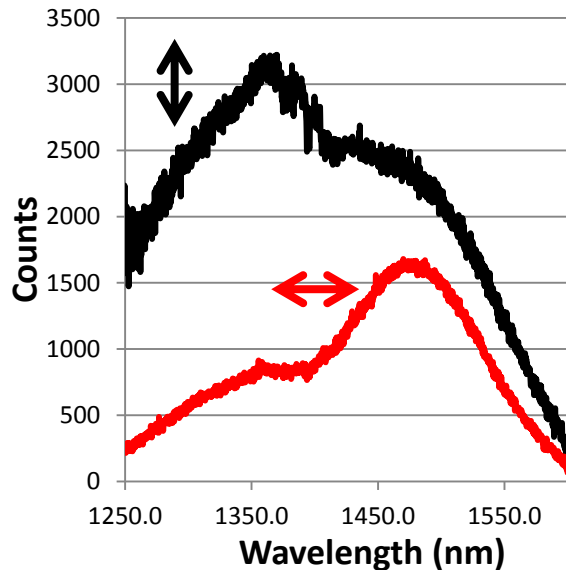
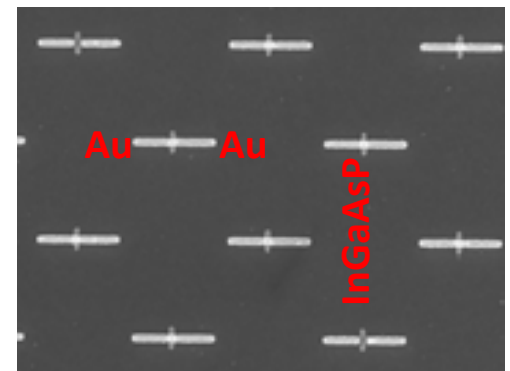
Continuous Ridges

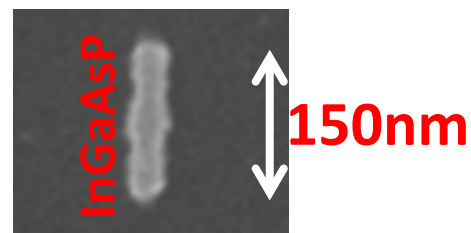
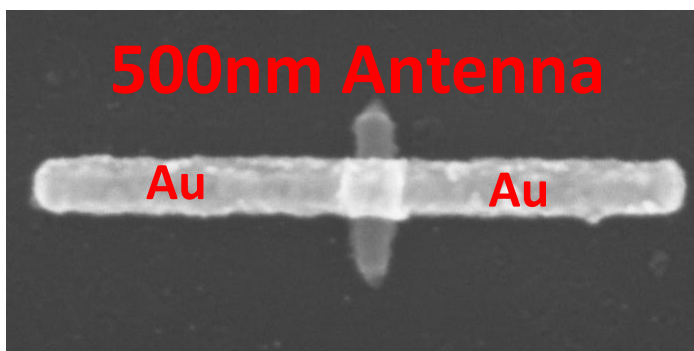


450nm Long Ridges



150nm Long Ridges



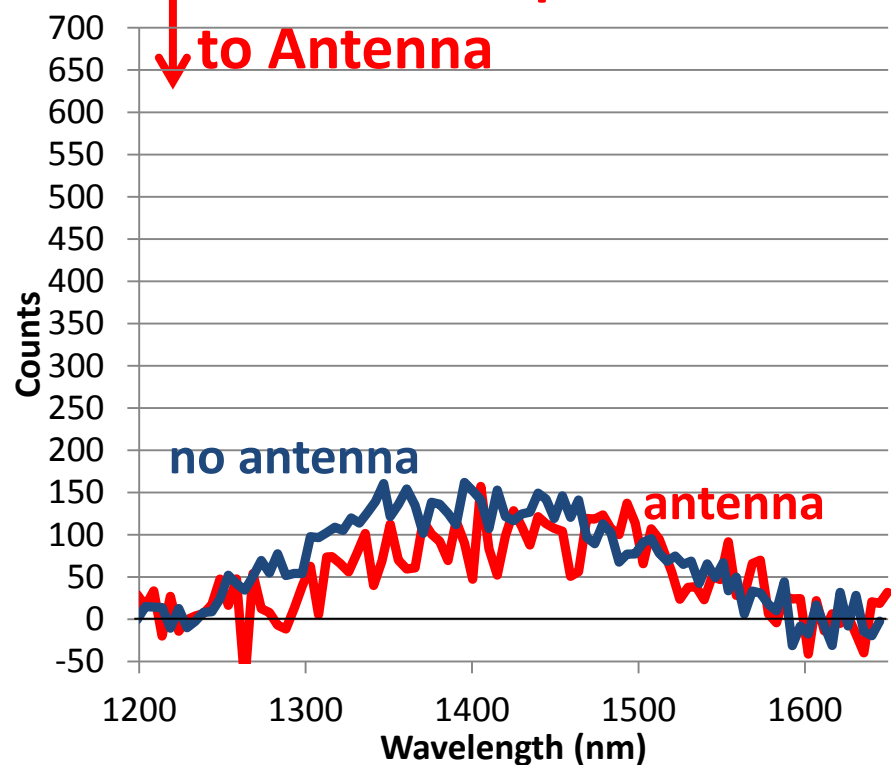


Pump  
Polarization

Blue double-headed vertical arrow indicating the direction of the pump polarization.

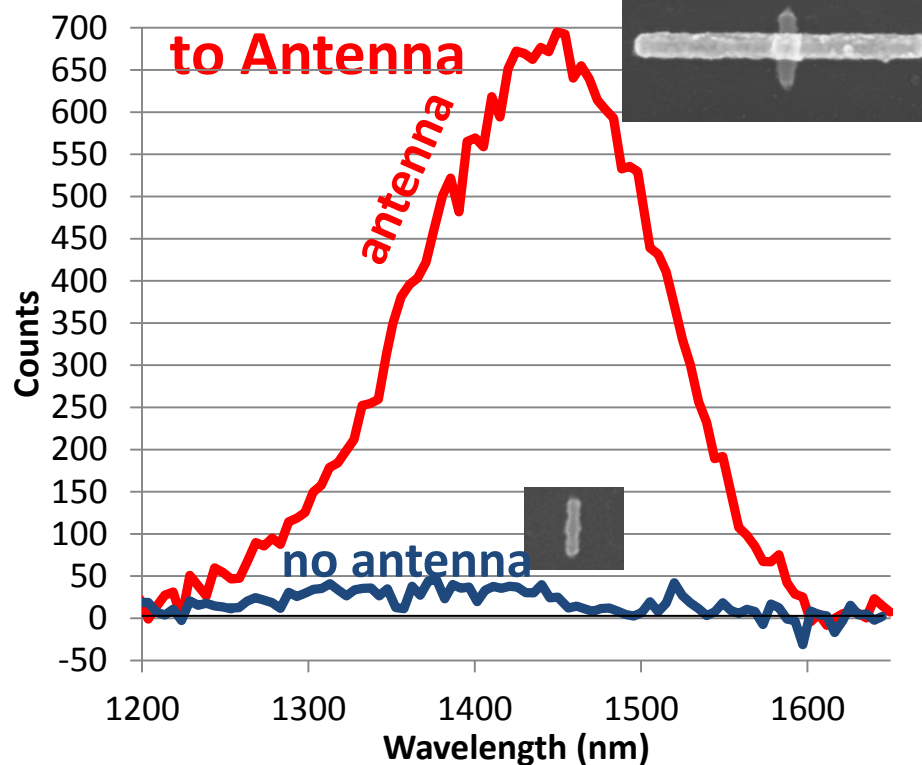
Emission Perpendicular  
to Antenna

Red double-headed vertical arrow indicating the direction of emission perpendicular to the antenna.



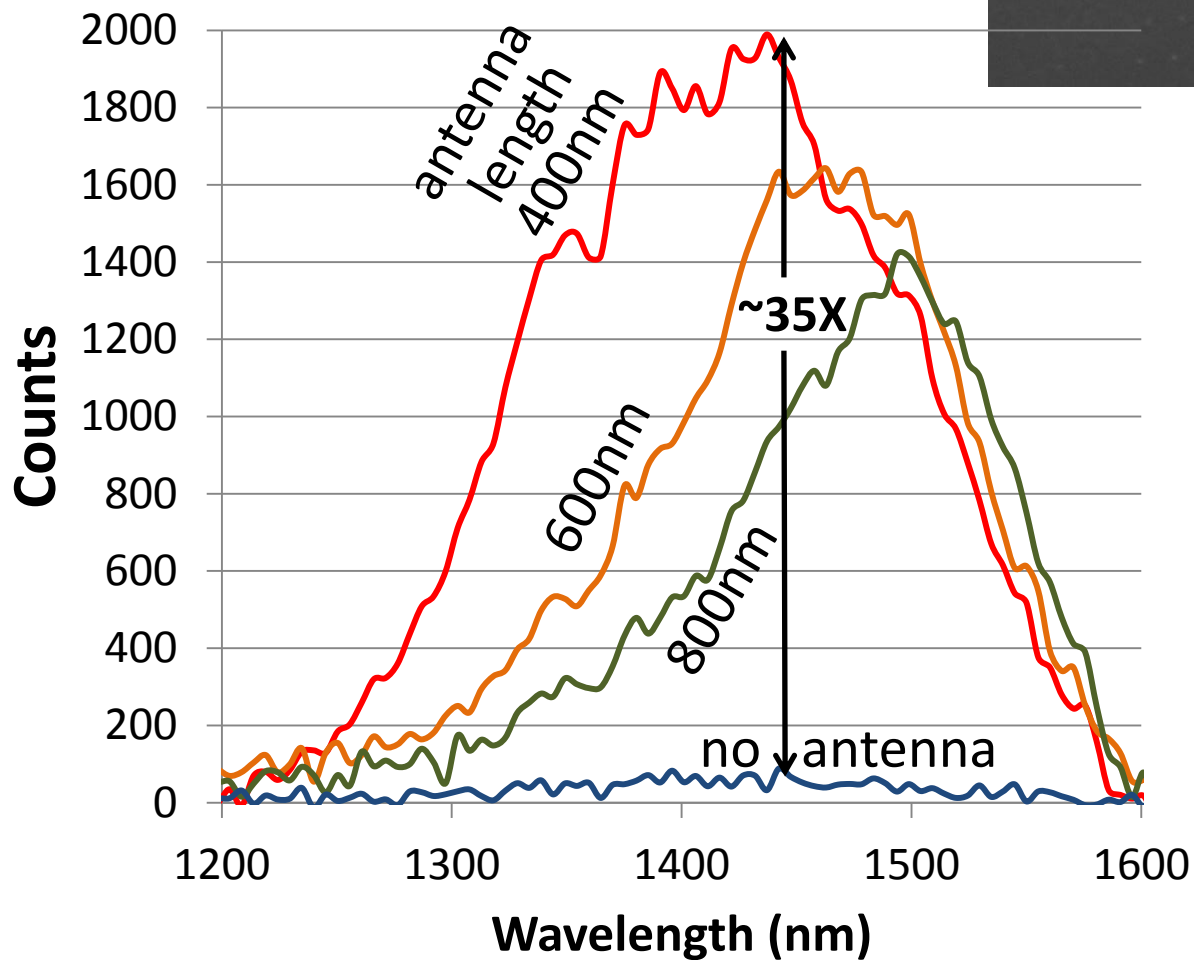
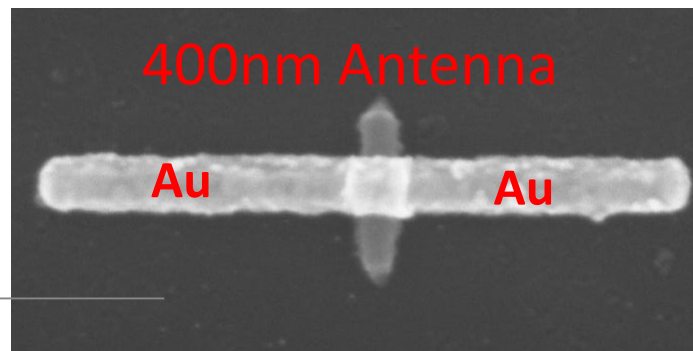
Emission Parallel  
to Antenna

Red double-headed vertical arrow indicating the direction of emission parallel to the antenna.



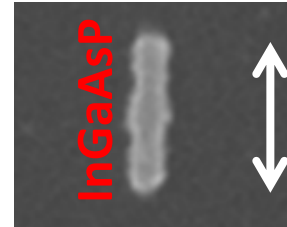


Emission Parallel  
to Antenna



Pump  
Polarization

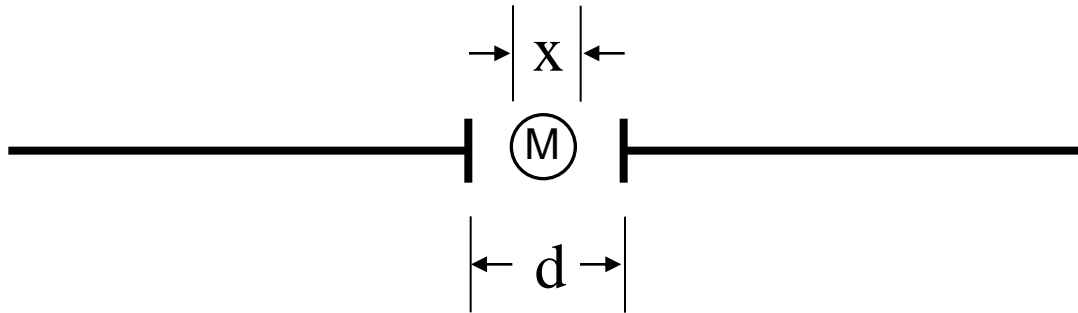
no antenna



150nm

~35X Spontaneous Emission Enhancement

The main experimental limitation:



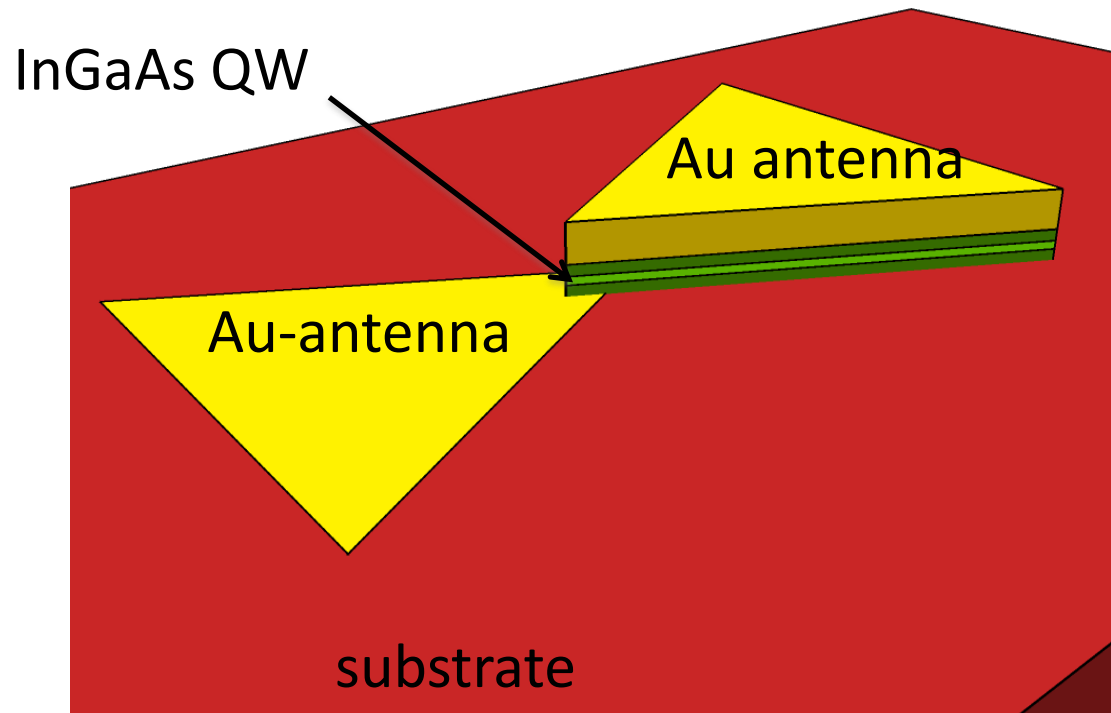
spontaneous emission speed penalty:  $\left(\frac{x}{d}\right)^2$

x = dipole length

d = antenna gap



Using selective etching, there are techniques for making, and handling very thin semiconductor flakes, with thickness defined by epitaxy:



# What about the efficiency?

Antenna radiation has to compete with dissipation:

$$\text{efficiency} = \frac{1/Q_{\text{radiative}}}{1/Q_{\text{radiative}} + 1/Q_{\text{Ohmic}}}$$

$Q_{\text{Ohmic}} \approx 10$  for plasmonic currents

A half-wave dipole has a  $Q_{\text{radiative}} \approx 1$

Efficiency will be reasonable

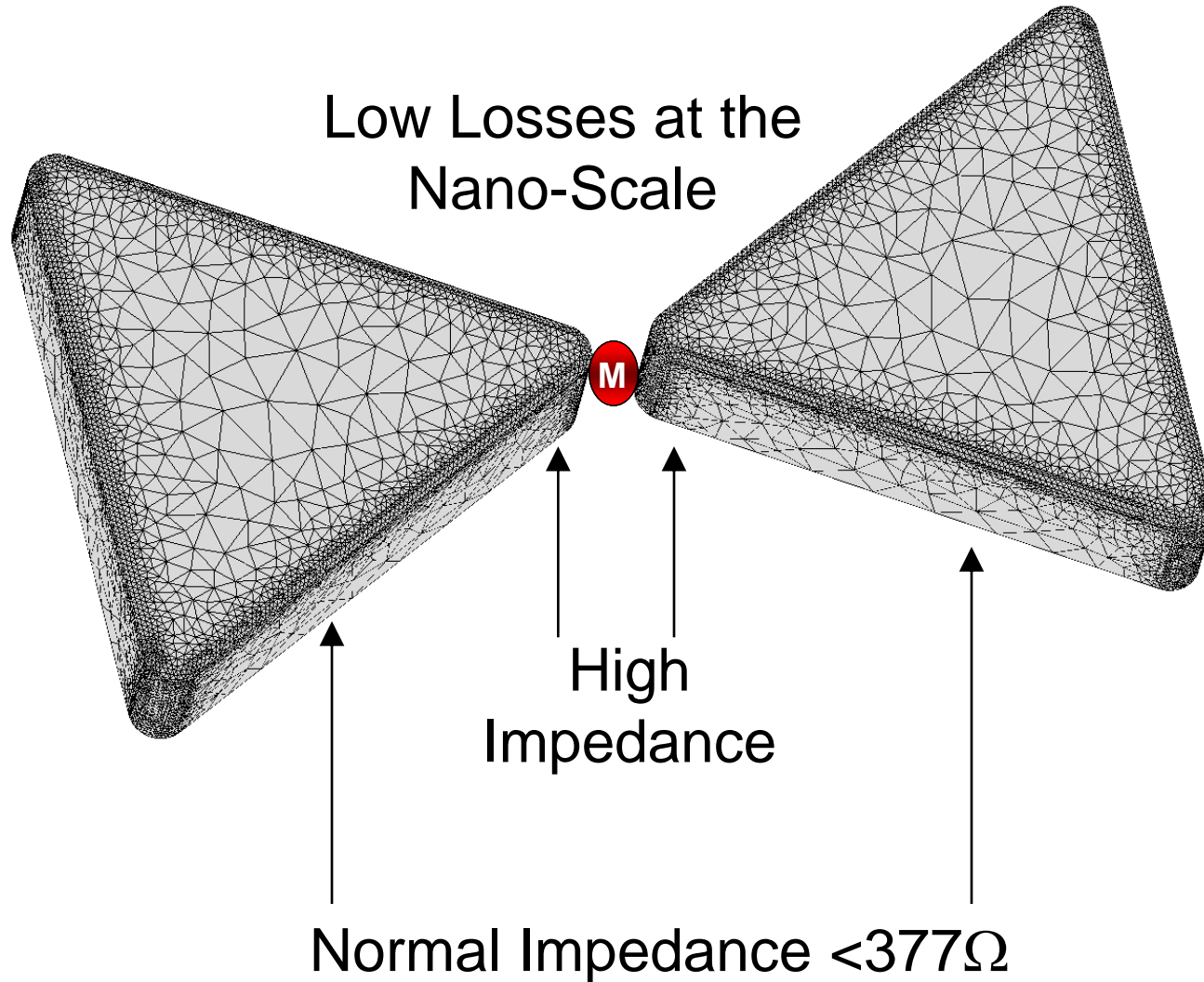
in a well designed system, but not necessarily  $>90\%$

General Requirement for Efficiency:

$$R_{\Omega} < R_{\text{radiation}} \sim \sqrt{(\mu_0/\epsilon_0)}/2\pi \sim 50\Omega$$

Electron Collisions with the Surface,  
& the Anomalous Skin Effect (non-local):

# Efficient Optical Frequency Antennas:



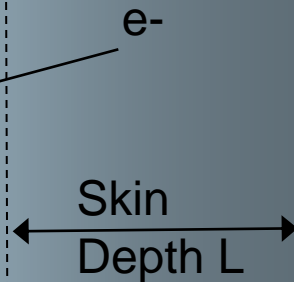
Anomalous  
Skin  
Effect  
(non-local):

loss of  
momentum  
from  
skin  
depth

Ag

SiO<sub>2</sub>

Skin  
Depth L  
is not a  
fixed number  
but becomes  
very short  
at the nano-scale



Momentum  
relaxation  
time =  $\frac{2L}{v_F}$

## Conclusions:

1. Naked InGaAsP quantum dots are a suitable active medium
2. Antenna provided a  $35\times$  enhancement in the total spontaneous emission from little InGaAsP rods
3. Taking into account the spatial overlap between the antenna mode and the InGaAsP rod, the spontaneous emission rate enhancement is actually  $\sim 100\times$   
(to beat the laser  $200\times$  needed)

4. The Anomalous Skin Effect eventually makes

$$R_{\Omega} < R_{\text{radiation}} \sim \sqrt{(\mu_0/\epsilon_0)}/2\pi \sim 50\Omega$$

We need theoretical help to calculate  $R_{\Omega}$  near a sharp tip.

We hope to beat lasers  $2000\times$  enhancement &

modulation speed to  $\sim 2\text{Tb/s}$ .



# What are the implications of the new Science of Spontaneous Emission?

1. Direct modulation speed of nano-LED's for interconnects will be  $>100\text{Gbits/sec}$
2. Many substances that do not fluoresce, will radiate efficiently when placed near an antenna structure. This has implications for bio-sensors, etc.
3. Surface-Enhanced-Raman scattering finds a rational scientific basis, and becomes more useful.
4. ....

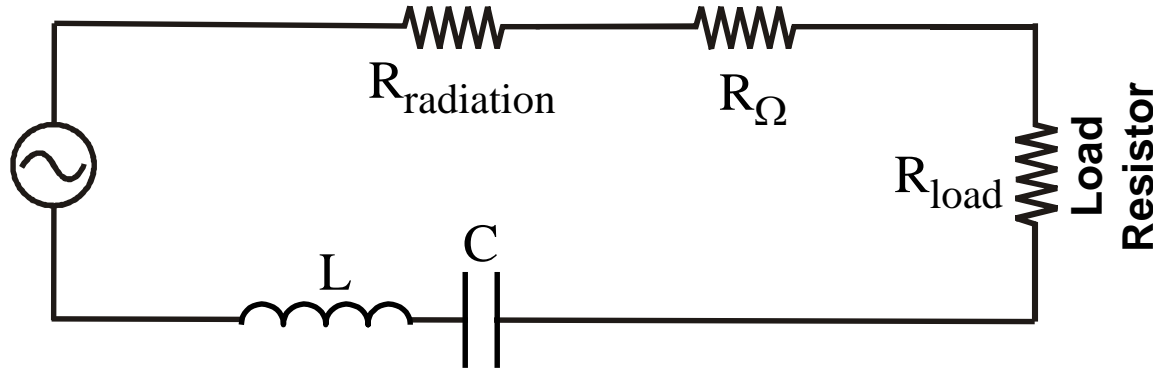
1. There are creative insights from the Meta-Material viewpoint.

There are many amazing new properties in meta-materials.



2. There are creative insights from the Circuit viewpoint.

There are many amazing new properties in optical circuits.



The circuit viewpoint is more general, since it doesn't require repeating units, and is every bit as amazing.

Proposal: unified name should be “Metal Optics”.